



Report of Unclaimed Securities

Holder Name: _____ Deposit to: DTC #0954, Account #AUZF0233702, Agent Bank #26017
Enter the holder's name as it appears on the holder report form (Page 8).

Tax ID#: _____ Report Year: _____ Period: _____ to _____ Page: _____ of _____
Enter the holder's tax identification number (FEIN). Enter the year for which the report is being filed. Enter the period covered by this report. Enter the page number of this report (for example, 1 of 2).

PROPERTY CODE Refer to pages 4-5	CUSIP NUMBER	ISSUE NAME OF SECURITY Enter the issue name of the security being reported	OWNER'S NAME Enter the Owner's name as it appears on page 9	REMITTED SHARES Enter the number of shares per owner	TYPE OF SECURITY Stock, Mutual Fund, DRP, etc
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
Number DTC/Physical Shares			Number DRP/Statement Shares		Report Total

INSTRUCTIONS FOR REPORTING SECURITIES/STOCK

- Use this form if your report includes *securities*

- Bonds/Debentures
- Demutualization Proceeds
- Mutual Funds
- Preferred Stock
- Stock Warrants
- Any other security-type property that has been considered unclaimed
- Common Stock
- Dividend Reinvestment Plans (DRPs)
- Partnership Units
- Restricted Stock
- Unit Investment Trusts

Any monetary-type property, even if it is related to a security (for example, dividends), should be reported on the **OWNERS OF UNCLAIMED PROPERTY** form, page 9.

- **ALL DTC eligible shares MUST be deposited through DTC as follows:**

DTC #0954

Agent Bank # 26017

Reference: Katahdin & Co

a/c AUZF0233702

- Register and deliver **physical certificates only** for shares that are not DTC eligible as follows:

Katahdin & Co

Mellon Security Trust Company

ATTN: Mike Visone

120 Broadway 13th Floor

New York, NY 10271-0002

Tax ID # 16-1674447

a/c AUZF0233702

- Register **DRP & book shares** in book form as follows:

Katahdin & Co

c/o ACS Unclaimed Property Clearinghouse

260 Franklin Street, 11th Floor

Boston, MA 02110

Tax ID # 16-1674447

a/c AUZF0233702

- Register **mutual funds** as follows:

Mac & Co a/c AUZF0233702

Mutual Fund Operations

PO Box 3198

Pittsburg, PA 15230-3198

Tax ID # 25-1536944

(may appear for multiple states)

Note: It is important that the mutual fund registration reference the account #AUZF0233702. This is what identifies the fund as belonging to Maine. When funds are transferred to Mac & Co., please ensure that third party statements are sent to ACS Unclaimed Property Clearinghouse, 260 Franklin Street, 11th FL, Boston, MA 02110, ATTN: Mutual Fund Operations.

A **detailed list**, including CUSIP numbers, number of shares, issue names, DTC participant numbers and date of deposit/transfer **MUST be faxed** to ACS Unclaimed Property Clearinghouse at 617-722-9660, [ATTN: Custody Department] **at least two days prior to deposit/transfer**. Remember to **include a copy** of the detailed list **with the holder report** filed with the State Treasurer. For more information, please call ACS State Client Liaison at 617-722-9659.